

CVD Growth, Defect and Phase Engineering in Two-dimensional Materials for Optoelectronic Applications

A Thesis

Submitted by

**PAWAN KUMAR
(D14040)**

For the award of the degree of

Doctor of Philosophy



**SCHOOL OF ENGINEERING
INDIAN INSTITUTE OF TECHNOLOGY MANDI
Kamand, Himachal Pradesh -175005**

August, 2018

*CVD Growth, Defect and Phase Engineering in Two-dimensional Materials for
Optoelectronic Applications*

Dedicated to

My Parents
&
Sisters

Declaration by the Research Scholar

I hereby declare that the entire work embodied in this thesis entitled “**CVD Growth, Defect and Phase Engineering in Two-dimensional Materials for Optoelectronic Applications**” is the result of investigations carried out by me in the **School of Engineering**, Indian Institute of Technology Mandi, under the supervision of **Dr. Viswanath Balakrishnan** for the award of the degree of **Doctor of Philosophy** is a bona fide record of the research work carried out by me and that it has not been submitted elsewhere for any degree or diploma. In keeping with the general practice, due acknowledgements have been made wherever the work described is based on finding of other investigators.

Pawan Kumar
Enrollment No.: D14040
School of Engineering
Indian Institute of Technology Mandi
Mandi (H.P.)-175005

Place: Mandi

Date: August, 2018

Thesis Certificate

I hereby certify that the entire work in this thesis titled “**CVD Growth, Defect and Phase Engineering in Two-dimensional Materials for Optoelectronic Applications**” has been carried out by **Pawan Kumar**, under my supervision in the **School of Engineering**, Indian Institute of Technology Mandi for the award of the degree **Doctor of Philosophy**. The contents of this thesis, in full or in parts, have not been submitted to any other Institute or University for the award of any degree or diploma.

Dr. Viswanath Balakrishnan
Assistant Professor
School of Engineering
Indian Institute of Technology Mandi
Mandi, (H.P.)-175005

Place: Mandi

Date: August, 2018

Acknowledgements

At the outset I would like to express my gratitude to my research supervisor Dr. Viswanath Balakrishnan for his supervision, advice and guidance. It was Dr. Balakrishnan who discovered my aptitude for research early on and put in the necessary effort to groom me and help me to deliver my best. Everything that I am today I owe to his meticulous support and counselling. He has helped me not only with my academics but also through all walks of my life. His energetic persona, riveting discussions and charismatic charm have always inspired me to excel. I have always had his support and encouragement whenever I needed it. I have also largely benefited from his scientific intuition, vast knowledge of the subject and rich experience. I am forever indebted to him for everything I am today and for whatever I will achieve in my time to come. It has been a pleasure working with him.

I am pleased and heartily acknowledge for the support and encouragement of *Dr. Aditi Halder* for her help and continuous guidance in various stages of research and activities throughout the PhD duration.

I wish to acknowledge the members of my doctoral committee for their critical appraisal of my research and annual progress. My obligations to *Dr. Sudhir Kumar Pandey*, *Dr. Mohammad Talha*, *Dr. Satinder Kumar Sharma* and *Dr. Rajiv Kumar* for valuable time and on my research work.

I immensely enjoyed and benefited from the active collaboration that I had with Dr. Saurabh Lodha (IIT Bombay), Dr. Ahin Roy (IISc Bangalore), Dr. Kenji Kaneko (Kyushu University, Japan), Dr. Chayan K. Nandi (IIT Mandi), Dr. Pradeep Kumar (IIT Mandi) and their group members during my research work.

I wish to express gratitude for the support I received from Govt. of India (*SERB*, *DST*) and *IIT-Mandi* for making my trip to Phoenix, Arizona, USA and Boston, MA, USA a great success. It was one of the best moments of my life, made possible thanks to their effort and help.

I would like to thank my colleague-cum-friend for their unwavering help as and when I needed it. Special mention goes to *Ms. Priyanka Tiwari* and *Ms. Lalita Sharma*.

Much of my efforts would not have been successful without proper help and support from my adorable lab-mates and colleagues, *Mr. Davinder Singh*, *Ms. Bandhana Devi*,

Ms. Divya Verma, Ms. Deepa Thakur, Mr. Navneet Chandra Verma, Dr. Satyanarayan Patel, Dr. Himmat Singh Kushwaha and Dr. Saurabh Kumar.

I would like to acknowledge the help and co-operation of the AMRC (IIT-Mandi) staff and School of Engineering (IIT-Mandi) staff, especially *Ms. Mamta Chauhan* and *Mr. Sumeet Azad* in carrying out my research and academic activities.

Where would I be without my family? Words are not enough to express the gratitude that I feel towards my family. I thank to both of you, my *Late Grandfather* and *Late Grandmother* for being proud and supportive of my choices in life. I would like to thank my parents for having always stood beside me with their blessings.

Finally, I would like to thank everybody who was important to the successful realization of my thesis as well as expressing my apology that I could not mention all of them within this short note.

PAWAN KUMAR

August, 2018

I am among those who think that science has great beauty. A scientist in his laboratory is not only a technician: he is also a child placed before natural phenomena which impress him like a fairy tale. We should not allow it to be believed that all scientific progress can be reduced to mechanisms, machines, gearings, even though such machinery also has its beauty.

.....
MARIE CURIE (1867 – 1934)

Preamble

Two-dimensional (2D) materials attracted researchers to explore their fundamental properties as well as industrial applications to great extent. Remarkable properties observed with reduced dimension in these materials made them highly capable in such a way that it can achieve properties that are beyond the current state of technological need. Electrical and optical properties have been explored extensively which giving us a vast field of plausible applications. Chemical vapor deposition (CVD) is known for best growth technique to synthesize the 2D materials with the benefit of high throughput as well as industry scale deliverable capability. Yet several challenges limit further development and needs to be for their advanced product level applications.

In this thesis, firstly (*Chapter 1*) the general overview of the 2D material system covering some important aspects like growth, properties, stability, quality and its versatile applications are introduced. Number of growth methodologies have been explored in recent past and it become one of the most widely explored areas as per the large volume of literatures. Nevertheless large scale approach in CVD growth technique, monolayer formation have lot of other challenges such as control over defects, active edges, strain, allotropic phases and their stability etc. and are introduced in *chapter 2 and chapter 3*. Chapter 2 describes the details of characterizing tools which are utilized for the analysis of 2D nanostructures. Defect and phase engineering have been actively explored in various 2D materials as they strongly influence their properties and applications to great extent. Enabling such control at atomic level during CVD growth has been explained in chapter 3 along with their significance for nanoelectronics and optoelectronic applications. We first focus on growth of material and then their further quality assurance. Sulfur evaporation rate controlled, screw dislocation driven (SDD) growth of two dimensional MoS₂ that contains unprecedented atomic step density has been presented in chapter 3. SDD growth of atomic thin MoS₂ on amorphous SiO₂ or crystalline and conducting Si substrate paves way to form spiral morphology of 2D materials without the need of single crystalline miscut substrates to initiate the line defects.

Chapter 4 describes the surface and interface engineering within 2D nanostructures (MoS₂, WS₂) in terms of their orientation, shape and structural confinement. Vertically

and horizontally oriented growth have been explained in terms of their controlled growth environment and process parameters. Similarly, controlling microstructures of WS₂ during CVD growth has been demonstrated with aid of FESEM and TEM observations. Detailed mechanistic growth sequences from WO₃ nanorod to nanotube, monolayer and pyramidal 3D structures of WS₂ has been achieved using atmospheric pressure CVD. The active edges in vertically oriented MoS₂ layers have been tested for the enhanced hydrogen evolution and also, microstructural change based WS₂ has been studied for the active photo and gate modulated photo sensing performance.

Chapter 5 highlighted the point defect clustering driven, faceted void formations with luminescent enhancement at edges as well as surfaces in WS₂ monolayer during large scale CVD growth. We have used aberration-corrected scanning transmission electron microscope (AC-STEM) high angle annular dark field (HAADF) imaging to probe the atomic terminations of S and W to explain the observed luminescent enhancement in particular terminated edges. Defect generation and void growth dominate at later stages during CVD growth and provide optimum processing window for monolayer WS₂ as well as faceted void growth. Growth of faceted voids not only follow the geometry of monolayer facets but also show similar atomic terminations at the edges and thus enables local manipulation of photoluminescence intensity enhancement with an order of magnitude increment. Similarly, the implication of nanomanufacturing technique has been employed to generate patterned and modulated 2D monolayer materials. Such control over patterning in monolayers of WS₂ and MoS₂ aid the development of novel, miniaturized devices for nanoelectronics and nanophotonics applications. The site-specific nanosculpting of CVD grown WS₂ and MoS₂ monolayers achieved and spatially modulate PL intensity up to more than an order of changes. To analyze the systematic strain variation, relatively shallow indentations are also carried out with conical tip of ~5 μm radius. Raman and PL measurements carried out near indented region indicate the systematic variation in strain with respect to load and location. Further, nanosculpting to design non-periodic structures is achieved by performing nanoindentation close proximity to each other with detailed Raman and PL mapping.

Chapter 6 describes the phase engineering in 2D monolayer to overcome some of the fundamental limitations of conventional heterostructures. We have shown first time achievement of atmospheric pressure CVD grown coexisting polymorph (WS₂) in single domain monolayer WS₂ with uniform large scale upto 150 μm size. The coexisting

polymorphs forming the seamless homojunction show unique optical and electrical behavior. Hexagonal single domain of WS_2 is organized in the alternate triangular heterophase such that 1H-1T and 1H-1T' coexisting phases are lying sidewise. AC-STEM has been used to identify the defect dominated structural polymorphic (1H-1T-1T') formation. Atomically thin heterophase formation in controlled growth with several featured challenging utilities provide unique platform for nanomanufacturing of 2D layers.

Chapter 7 describes the defects in atomic thin heterogeneous 2D materials that play major role to control and tune the electronic and optical properties. CVD enabled large scale growth of 2D materials with control over domain size, phase and defects to enhance the local properties that can be engineered during growth stage by varying process parameters suitably to design nanoscale materials and devices. We made uniformly nucleated defect sites onto atomic thin 2D monolayer WS_2 . Uniform defect induced monolayer growth kinetics has been proposed with scalable CVD synthesis which provides the formation mechanism at constant thermodynamic condition. Uniformly decorated defects causing local luminescence enhancement mainly favored through S-deficiencies and confirmed by the X-ray photoemission spectroscopy. Spatially resolved PL and Raman spectral mapping depicts the homogeneous modulation as well as strain variation irrespective to the surrounding of defect rich region in monolayer domain.

Chapter 8 explored the challenges of mechanical reliability of nanoscale devices due to lattice and thermal mismatch strains. On contrary to conventional wisdom, our observations on CVD growth of WS_2 monolayer reveal the formation of heterophase, cancel competing thermal mismatch and lattice strains which stabilize crack free monolayer heterostructures while homophase WS_2 monolayer suffer from severe cracking. To shed light on this unique thermal strain relaxation in heterophase WS_2 monolayer, systematic investigation with aid of *in-situ* Raman spectroscopy has been carried out from 4K to near room temperature (330K). The measured thermal expansions of CVD grown heterophase WS_2 monolayers provides the tensile strain arising from thermal expansion mismatch, found to be cancelled by compressive lattice mismatch strain developed in heterophase WS_2 monolayer. Phase engineered WS_2 monolayer with differential thermal expansion of different polymorphic phases are relevant for the design of robust heterostructures based nanoscale devices. Similarly, electron beam lithography

(EBL) has been used to fabricate field effect transistor onto heterogeneous monolayer WS₂. Neighboring facet in single flake of heterophase domain has been used to measure the photo-induced current for different back-gate biased conditions. We observed nearly five times lesser drain current for semi-metallic phase (1T'), showing less electron-hole pair generation in compared with semiconducting (1H) phase, measured at same condition. In addition, rationalized photo-device utilization has been performed by making this heterophase into sub-nm thin channels or any arbitrary structures through the facile EBL patterned plasma etching process. Also, other arbitrary geometrical shapes have been fabricated using this facile technique to show the photo-device formation.

Finally, the overall findings on CVD growth, control of defect and co-existing phase formation along with the investigated optical, electrical and thermal properties are summarized with few indicators for further device development using atomically thin 2D materials.

Publications:

1. **Pawan Kumar, Viswanath Balakrishnan**, “Effect of sulfur evaporation rate on screw dislocation driven growth of MoS₂ with high atomic step density”; *ACS Crystal Growth & Design*, 16 (12), 7145–7154 (2016).
2. **Pawan Kumar and Viswanath Balakrishnan**, “Horizontally and vertically aligned growth of strained MoS₂ layers with dissimilar wetting and catalytic behaviors”; *RSC Crystal Engg. Communication*, 19, 5068-5078 (2017).
3. **Pawan Kumar and Viswanath Balakrishnan**, “Nano Sculpting of Atomically Thin Two-dimensional Materials for Site Specific Photoluminescence Modulation”; *Wiley Advanced Optical Material*, 1701284 (2018).
4. **Pawan Kumar and Viswanath Balakrishnan**, “Growth and microstructural evolution of WS₂ nanostructures with tunable field and light modulated electrical transport”; Elsevier *Applied Surface Science*, 436, 846–853 (2018).
5. **Pawan Kumar, Dipanwita Chatterjee, Takuya Maeda, Ahin Roy, Kenji Kaneko and Viswanath Balakrishnan**, “Scalable edge controlled CVD growth of faceted voids for photoluminescence enhancement in WS₂ monolayers”, *RSC Nanoscale*, 10, 16321-16331 (2018).
6. **Pawan Kumar, Navneet Chandra Verma, Natasha Goyal, Jayeeta Biswas, Saurabh Lodha, Chayan K. Nandi and Viswanath Balakrishnan**, “Phase engineering of seamless heterophase homojunctions with co-existing 3R and 2H phases in WS₂ monolayers”; *RSC Nanoscale*, 10, 3320-3330 (2018).
7. **Pawan Kumar, Birender Singh, Pradeep Kumar and Viswanath Balakrishnan**, “Competing thermal expansion mismatch and lattice strain engineered growth of crack free WS₂ monolayer with heterophase”, *Journal of Materials Chemistry C*, Advanced Article (2018).
8. **Pawan Kumar, Jayeeta Biswas, Juhi Pandey, Kartikey Thakar, Ajay Soni, Saurabh Lodha and Viswanath Balakrishnan**, “Defect induced surface engineering in monolayer homojunction WS₂: Ultra-large Photoluminescence enhancement”, **Under Review**.
9. **Pawan Kumar, Kartikey Thakar, Navneet Chand Verma, Jayeeta Biswas, Takuya Maeda, Kenji Kaneko, Ahin Roy, Chayan Kanti Nandi, Saurabh Lodha and Viswanath Balakrishnan**, “Phase engineered coherent and controlled formation of seamless homojunction in coexisting heterostructure monolayer WS₂”, **Submitted**.

Other related publications:

- ❑ **Pawan Kumar**, Shivangi Kataria, Shounak Roy, Amit Jaisawal and Viswanath Balakrishnan, Photocatalytic water disinfection of CVD grown WS₂ monolayer decorated with Ag nanoparticles, Willey **Chemistry Select**, 3, 7648– 7655 (2018).
- ❑ B Raju Naik, **Pawan Kumar** and Viswanath Balakrishnan “Controlled sulfurization of DC sputtered Mo and W thin films for CVD growth of MoS₂/WS₂ heterostructures”, IOP **Materials Research Express**, 5, 086405 (2018).
- ❑ **Pawan Kumar** and Amit Kumar, “Carrier type modulation in current annealed graphene layers”, AIP **Applied Physics Letter** 104, 083517 (2014).
- ❑ **P. Kumar**, D. K. Avasthi, J. Ghatak, P. V. Satyam, R. Prakash and A. Kumar, “Nano tracks in fullerene film by dense electronic excitations”, Elsevier **Applied Surface Science** 313, 102–106 (2014).
- ❑ **Pawan Kumar**, “Temperature-dependent conduction mechanism of vertically aligned graphene nano-flakes incorporated with nitrogen in situ”, IOP **Materials Research Express** 4, 075011 (2017).
- ❑ M.A. Mir, M.A. Bhat, R.A. Naikoo, R.A. Bhat, M. Khan, M. Shaik, **P. Kumar**, P.K. Sharma and R. Tomar, “Utilization of zeolite/polymer composites for gas sensing: A review”, Elsevier **Sensors and Actuators B: Chemical**, 242, 1007 (2017).

Table of Contents

Title	Page No.
DECLARATION.....	i
THESIS CERTIFICATE.....	ii
ACKNOWLEDGEMENTS.....	iii
PREAMBLE.....	v
TABLE OF CONTENTS.....	xi
LIST OF FIGURES.....	xv
LIST OF TABLE.....	xxx
Chapter 1: Introduction.....	1
1.1 Introduction.....	1
1.2 Overview of two-dimensional TMDCs.....	2
1.3 Synthesis of two-dimensional TMDCs.....	3
1.4 Properties and Applications of two-dimensional TMDCs.....	5
1.4.1 Structure and properties.....	5
1.4.2 Chemical properties.....	6
1.4.3 Optical properties.....	7
1.4.4 Electrical properties.....	8
1.5. Defect engineering in two-dimensional TMDCs layer.....	9
1.6. Phase engineering in two-dimensional TMDCs layer.....	10
1.7. Motivation and Objectives.....	11
References.....	12
Chapter 2: Synthesis and Characterization Techniques.....	15
2.1. Chemical Vapor Deposition (CVD).....	15
2.2. Visible Light Microscopy (VLM).....	17
2.3. Raman and Photoluminescence (PL) Spectroscopy.....	18
2.4. X-ray Photoelectron Spectroscopy (XPS).....	21
2.5. Fluorescence Microscopy (FM).....	22
2.6. Atomic Force Microscopy (AFM).....	23
2.6.1 Contact Mode operation.....	24

2.6.2. Non-contact Mode operation.....	24
2.6.3 Tapping Mode.....	24
2.7. Transmission Electron Microscopy (TEM).....	25
2.8. Field Emission Scanning Electron Microscopy (FESEM).....	27
2.9. Electron Beam Lithography (EBL).....	27
Chapter 3: Growth of monolayer and multilayer 2D materials with	
lateral size and edge length control.....	29
3.1 Introduction.....	29
3.2. CVD growth for monolayer MoS ₂ and WS ₂	31
3.2.1. CVD set-up utilized for growth of 2D layers.....	31
3.2.2. Growth methodology and parameters.....	32
3.2.3. Different growth technique adaptation for 2D layer synthesis.....	35
3.3. Screw dislocation driven growth of multilayers for atomic stepped MoS ₂	
with enhanced edge lengths.....	36
3.3.1. Material Characterization.....	37
3.3.2. Results and Discussion.....	38
3.4. Conclusions.....	53
References.....	54
Chapter 4: Interface engineered nanostructures of MoS₂ and WS₂ for energy	
and optoelectronic applications.....	57
4.1. Introduction.....	57
4.2. Anisotropic 2D nanostructures for enhancing their active edges.....	58
4.2.1. Material Characterization.....	59
4.2.2. Results and Discussion.....	59
4.3. Morphological modulation in nanostructures (WS ₂) for optoelectronic	
application.....	76
4.3.1 Results and Discussion.....	76
4.4. Conclusions.....	88
References.....	88
Chapter 5: Local site specific surface engineering in 2D monolayer for	
luminescence modulation.....	91
5.1 Introduction.....	92
5.2 Scalable faceted voids with luminescence enhanced edges in WS ₂	

monolayers.....	93
5.2.1 Material Characterization.....	94
5.2.2 Results and Discussion.....	94
5.3 Nanosculpting of monolayer two-dimensional materials (MoS ₂ , WS ₂) for site Specific photoluminescence modulation.....	109
5.3.1 Material Characterization.....	110
5.3.2 Results and Discussion.....	110
5.4. Conclusions.....	128
References.....	129
Chapter 6: Phase engineered monolayer (WS₂) with coexisting polymorph formation and seamless homojunction.....	133
6.1 Introduction.....	134
6.2 Material Characterization.....	135
6.3 Result and Discussion.....	135
6.3.1 Sample preparation and in-situ extension of growth condition.....	135
6.3.2 Polymorphic phase formation and phase fraction analysis in monolayer WS ₂	136
6.3.3 Probing structural heterogeneity by Raman and PL imaging.....	141
6.3.4 Imaging coexisting phases through fluorescence lifetime and Kelvin probe force microscopy.....	143
6.3.5 Atomic resolution structural validation using AC-STEM.....	147
6.3.6 In-situ optical behavior of co-existing 1H and 1T' phases in monolayer WS ₂ homojunction.....	149
6.4 Conclusions.....	152
References.....	152
Chapter 7: Defect control in phase engineered WS₂ for ultra-large enhancement in photoluminescence	155
7.1 Introduction.....	155
7.2 Material Characterization.....	156
7.3 Results and Discussion.....	157
7.3.1 Localized surface modification and luminescence enhancement.....	157
7.3.2 Spectroscopic and microscopic analysis across SD region in monolayer WS ₂	161
7.3.3 Formation mechanism of Sulfur-deficient domains in CVD growth.....	167

7.4 Conclusions.....	169
References.....	169
Chapter 8: Thermal and electrical properties of co-existing polymorphic phases in monolayer WS₂.....	171
8.1 Introduction.....	172
8.2 Probing thermal expansion of co-existing phases in WS ₂ monolayers with seamless homojunctions.....	173
8.2.1 Material Characterization.....	173
8.2.2 Results and Discussion.....	174
8.2.2.1 Heterophase polymorph formation in monolayer WS ₂ using CVD system.....	174
8.2.2.2 Raman characteristics of co-existing 1T-1H and 1H-1T' phases..	177
8.2.2.3 Thermal expansion coefficients of 1T-1H-1T' polymorphs in Monolayer WS ₂	180
8.3 Electrical characteristics of polymorphic phases in monolayer WS ₂	187
8.3.1 FET Device Fabrication and Characterization.....	188
8.3.2 Results and Discussion.....	188
8.4 Rational fabrication of photo-device using heterogeneous monolayer WS ₂ ...	191
8.5 Conclusions.....	193
References.....	194
Summary.....	197
Vistas Ahead.....	200